

## ROLE OF PLATELET RICH PLASMA THERAPY ON ROTATOR CUFF DISEASE

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### ABSTRACT:

**Background:** *There is lack of evidence from randomized clinical trials that had assisted the efficacy of PRP in treating of rotator cuff diseases (RCD).*

**Aim of the work:** *To study the effect of PRP injection on functional improvement on RCD, and to study the role of proinflammatory and angiogenesis-related cytokines as a pathogenic factor in RCD.*

**Patients and methods:** *The study was conducted on 40 patients with RCD. All patients subjected to: clinical shoulder examination, clinical scoring including; (VAS and Los Angeles Shoulder score), cytokine assay of vascular endothelial growth factor (VEGF) and interleukin one beta (IL-1 $\beta$ ), and diagnostic musculoskeletal ultrasound examination (MSU). All patients underwent two doses of ultrasound guided PRP injection with 4 weeks in-between. Re-evaluation after 4 weeks from first injection was done using clinical scoring, cytokine assay and MSU. Third assessment was done 3 months from first injection using clinical scoring and MSU.*

**Results:** *There was a statistically high significant decrease in pain, improvement of range of motion (ROM), power and functional state in all participants after 2 doses of PRP injection and rehabilitation program. In addition, there was a highly statistical significant decrease of cytokines serum levels after 4 weeks. There was a highly significant improvement regarding MSU grading. There was positive correlation between the serum levels of cytokines and VAS and negative correlation between the serum levels of cytokines and other clinical scores. There was positive correlation between the serum levels of VEGF and MSU grading.*

**Conclusion:** *PRP therapy is an effective treatment for RCD and lead to functional improvement. There is a strong correlation between levels of cytokines (IL-1 $\beta$  and VEGF) and clinical scores before injection and 4 weeks following first injection. There is strong correlation between level of VEGF and ultrasound grading of RCD.*

**Key Words:** *rotator cuff, platelet rich plasma, cytokine.*

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### INTRODUCTION:

Rotator cuff diseases (RCDs) are leading cause of shoulder pain and a significant source of disability and loss of work<sup>(1,2)</sup>. Its prevalence increases substantial

with age and with occupations involving overhead activities. They are affecting more than 50% of population above 60 years<sup>(3)</sup>. Disease course pass through 3 stages begin with acute tendinitis then progress to fibrosis and partial tear, end finally in full

thickness tear<sup>(4)</sup>. Subacromial impingement, tendon degeneration, alteration in tendon mechanical properties, increase tendon overload and overuse especially with overhead activities are contributing factors in disease progression and development of partial and full thickness tears<sup>(3)</sup>.

Recent studies using immunohistochemistry techniques and synovial fluid samples revealed that the hallmark of RCD pathogenesis including proinflammatory, anti-inflammatory process, an abnormal immune response, angiogenesis and altered variables of vascularity<sup>(5)</sup>. Vascular endothelial growth factor (VEGF) found to be highly expressed in degenerated tendon. This cytokine thought to play a pivotal role in process of tendon degeneration and repair<sup>(6)</sup>. As cytokines signal the normal process of inflammation and repair, they play important role in cell chemotaxis, proliferation, matrix synthesis and remodeling. These molecules have the potential to improve RCT healing<sup>(6)</sup>. IL-1 $\beta$ , TNF, IL-6, IL-10, proinflammatory cytokines are expressed in subacromial bursa in patients with RCD<sup>(7)</sup>. The combat between proinflammatory, anti-inflammatory and angiogenic factors that end in a failed healing response, which considered playing a principle part in pathogenesis of chronic tendon diseases<sup>(6)</sup>.

Management of RCD without full thickness tear is mainly conservative with use of physiotherapy, manipulation, NSAID and local steroid injection but with high rate of recurrence and persistent pain<sup>(8, 9)</sup>. Lack of healing response is the main cause of the unsatisfactory results of conservative treatment<sup>(10)</sup>. Therefore growth factors have been suggestive to be used to influence the healing process and promote tendon regeneration during treatment<sup>(11)</sup>. Platelets contain several cytokines and bioactive factors; PRP allows delivery of numerous cytokines in physiological balance<sup>(12)</sup>. The

PRP is expected to facilitate healing of poor vascular structure<sup>(13)</sup>.

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### **AIM OF THE WORK:**

This study was aimed to assess the effect of PRP injection on functional improvement on RCD and to evaluate the role of proinflammatory and angiogenesis-related cytokines as a pathogenic factor in RCD.

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### **PATIENTS AND METHODS:**

This study was conducted on 40 patients with RCD with age from 18-70 years and had un-satisfactory response to conservative therapy for at least 3 months. Criteria for diagnosis of RCD includes; shoulder pain with overhead activity more than 3 months, pain score more than 3 on visual analogue scale (VAS), painful arc or impingement signs, and were diagnosed with supraspinatus tendon disease on ultrasound examination<sup>(14)</sup>. Informed consent was obtained. This study was approved by Research Ethics committee of the Faculty of Medicine, Ain Shams University. All patients were subjected to: clinical examination by history taking and full general and musculoskeletal examination with emphasis on shoulder examination, clinical scoring using (VAS and Los Angeles Shoulder score), cytokines assay of VEGF and IL-1 $\beta$  by ELISA assay using Human ELISA Kit, and diagnostic musculoskeletal ultrasound (MSU) examination and grading (grading of rotator cuff tendon: Grade 0: Normal (hyperechoic, fibrillary echotexture); Grade 1: Mild tendinosis (heterogeneous echotexture with ill-defined hyperechoic regions); Grade 2: Sever tendinosis (diffuse abnormal hypoechogenicity but without tendon volume loss); Grade 3: Intrasubstance abnormality (focal, well-defined, hypoechoic area not extending to either the bursal or articular tendon surface); Grade 4: Partial-thickness

tendon tear (focal, well-defined, hypoechoic area extending to either the bursal or articular tendon surface); Grade 5: Focal full-thickness tendon tear (focal, well-defined, hypoechoic area extending to either the bursal or articular tendon surface with tendon volume loss); Grade 6: Full-thickness tear (non-visualization of tendon with retraction)<sup>(15)</sup>.

Forty Patients who met the inclusion criteria subjected to two doses of ultrasound guided PRP injection with 4 weeks between the first and second injection. A 3-week exercise program was done between the two doses followed by a further 3-week exercise program after the second dose. PRP was prepared using a platelet concentration system using venipuncture for collection of 45 ml of venous blood and then mixed with 5 ml citrate, for the inhibition of clotting, a total of 50 ml of blood will centrifuge in a special designed disposable tubes for double centrifugation; first for 15 minutes at 1600 rpm to separate the RBCs from plasma and second the plasma separated and centrifuged for 10 minutes at 3200 rpm to separate platelet rich plasma from platelet poor plasma. Six ml of PRP was obtained; 1 ml was collected for blood counting to establish the platelet concentration (at least double the serum plasma concentration) while the remaining 5 ml of PRP without any buffering or activating agent was infiltrated under complete aseptic condition and under ultrasound guidance into the tendon partial tear. After injection, all patients were instructed to rest from overhead-throwing activity for 2 days. Acetaminophen and cold compression were allowed if needed; the use of NSAIDs was prohibited. After 2 days

from injection, a 3-week exercise program will start under medical supervision. Reassessment after 4 weeks included; clinical scores, cytokines assay and MSU grading. Follow up assessment was done after 3 months and was included clinical scores and MSU grading.

Los Angeles Shoulder score is a combination of physical exam and subjective patient reported measures. It consists of 5 domains (pain, function, ROM, strength of forward flexion using manual muscle testing and patient satisfaction). A higher score indicates better function.

#### **Statistical analysis:**

Statistical presentation and analysis of our study was conducted and analyzed using the mean, standard deviation, repeated measure ANOVA, Chi-square test and paired sample t-test by SPSS program software version 17.

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#### **RESULTS:**

The age of our patients ranged from 34-64 years with a mean of  $50.18 \pm 7.54$  years. Seventeen of patients were males (42.5%) and 23 were females (57.5%). Twenty-eight participants (70%) had right shoulder affection and 12 (30%) had left shoulder complaint. The duration of shoulder complaint in the patients was ranged from 1-24 months with a mean of  $6.70 \pm 4.64$  months. The impingement tests were positive in all participants before starting the injection protocol. The results of the rotator cuff integrity and other shoulder tests are shown in table (1).

Table (1): Pre-injection Shoulder Clinical Special Tests.

Special Tests	No. of positivity (40) and %
1- Impingement tests	40 (100%)
2- Rotator cuff strength and integrity tests:	
Empty can test	40 (100%)
External Rotation test	24 (60%)
lift-off test	20 (50.0%)
3- Biceps tendon test	12 (30.0%)

Ultrasound shoulder grading of rotator cuff tendon was used to assess the anatomical changes and the results were shown in table (2). Shoulder clinical scores were used to give quantitative data for comparison and their results were shown in table (3). Assessment of VEGF and IL-1 $\beta$  cytokines serum levels by ELISA were used to give quantitative data about the role of proinflammatory and angiogenesis-related cytokines in RCD, and their results were shown in table (3).

Table (2): Pre-Injection Musculoskeletal Ultrasound Assessment of Rotator Cuff.

MSU	No. (40) and %
Grade 0 and 1	0 (0%)
Grade 2	17 (42.5%)
Grade 3	13 (32.5%)
Grade 4	10 (25.0%)
Grade 5 and 6	0 (0%)

MSU: musculoskeletal ultrasound.



Figure 1: Grade 2 rotator cuff lesion (diffuse abnormal hypoechoogenicity without tendon volume loss).

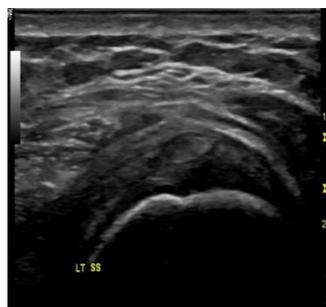


Figure 2: Grade 3 rotator cuff lesion (Intrasubstance abnormality).



Figure 3: Grade 4 rotator cuff lesion (Partial-thickness tendon tear).

By the end of injection protocol, there was a highly statistical significant decrease in pain ( $p < 0.01$ ) assessed by VAS after 4 weeks and after 3 months, as shown in table (3). There was a highly statistical significant

improvement of shoulder functions in all participants after injection and rehabilitation program ( $p < 0.01$ ) after 4 weeks and after 3 months, table (3), assessed using Los Angeles Shoulder score.

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Table (3): Comparison between Different Clinical Scores before and after Injections.

Scores	Before Injection	After 4 weeks	After 3 months	ANOVA test		
				Test value	P	Sig.
VAS				333.978	<0.001	HS
Range	4 – 9	2 – 6	0 – 5			
Mean ± SD	6.55 ± 1.57	4.03 ± 1.03	2.28 ± 1.13			
Los Angeles Shoulder score				618.183	<0.001	HS
Range	8 – 24	16 – 28	24 – 34			
Mean ± SD	15.00 ± 3.91	22.65 ± 3.17	31.15 ± 2.13			

P-value >0.05: Non significant (NS); P-value <0.05: Significant (S); P-value < 0.01: highly significant (HS). VAS: visual analogue score; SD: standard deviation.

There was a highly statistical significant decrease of cytokines serum levels (VEGF and IL-1 $\beta$ ) after injection and rehabilitation program ( $p < 0.01$ ) assessed by ELISA after 4 weeks, table (4). There was a highly

statistical significant increase in the number of patients that improved regarding MSU grading and become grade 1 ( $p < 0.01$ ) assessed after 3 months, table (5).

Table (4): Comparison of Cytokines before and after Injection.

Cytokines levels	Before Injection	After 4 weeks	Paired t- test		
			Test value	P	Sig.
VEGF (ng/L)			11.367	<0.001	HS
Range	800 – 3200	400 – 1200			
Mean ± SD	1545.00 ± 522.67	737.50 ± 209.62			
IL-1 $\beta$ (pg/L)			10.125	<0.001	HS
Range	1300 – 7800	400 – 2400			
Mean ± SD	3587.50 ± 1706.28	1305.00 ± 465.17			

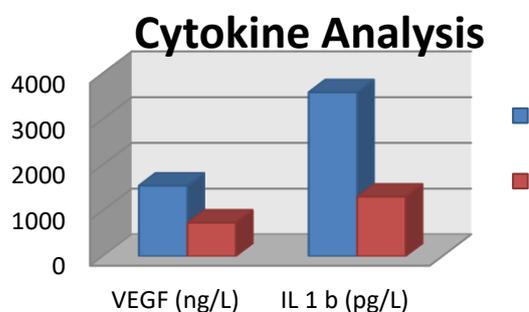


Figure 4: Comparison between cytokine analysis before and at week 4 after injection.

Table (5): Comparison of Musculoskeletal Ultrasound Assessment before and after Injection.

MSU Grading	Before		After 4 weeks		After 3 months		Chi-square test		
	No.	%	No.	%	No.	%	Test value	P	Sig.
Grade 1	0	0.0%	0	0.0%	10	25.0%	21.818	<0.001	HS
Grade 2	17	42.5%	18	45.0%	13	32.5%	1.458	>0.05	NS
Grade 3	13	32.5%	12	30.0%	11	27.5%	0.238	>0.05	NS
Grade 4	10	25.0%	10	25.0%	6	15.0%	1.571	>0.05	NS

P-value >0.05: Non significant (NS); P-value <0.05: Significant (S); P-value < 0.01: highly significant (HS). MSU: musculoskeletal Ultrasound.

There was a highly statistical significant positive correlation between the serum levels of cytokines (IL-1 $\beta$  and VEGF) and

VAS score ( $p < 0.01$ ) before injection and a statistically significant negative correlation between the serum levels of cytokines (IL-

1β and VEGF) and Los Angeles Shoulderscore (p<0.05) before injection, as shown in table (6). There was a statistically significant positive correlation between the serum levels of VEGF and MSU grading of

rotator cuff lesion (p<0.05) before injection, while no significant correlation between the serum levels of IL-1β and MSU grading of rotator cuff lesion (p>0.05).

**Table (6):** Correlation between Serum Levels of Cytokines and Other Methods of Assessments.

Scores	VEGF (ng/L)			IL-1β (pg/L)		
	r	p	Sig.	r	P	Sig.
VAS	0.523	<0.001	HS	0.872	<0.001	HS
Los Angeles Shoulder score	-0.437	<0.001	HS	-0.713	<0.001	HS
MSU Grading	0.384	<0.05	S	0.113	>0.05	NS

P-value >0.05: Non significant (NS); P-value <0.05: highly significant (HS).

## DISCUSSION:

The protocol we used in our study has shown successful results, with no significant side effects, in form of a high statistically significant decrease in pain assessed by VAS as well as a high statistically significant improvement of shoulder in all participants assessed using Los Angeles Shoulder score. This clinical improvement results following PRP therapy was similar to results of other researchers<sup>(16, 17, 18)</sup>. The main targets of PRP therapy are to curb the inflammatory response and supplement tendon-bone healing with growth factors<sup>(13)</sup>. In addition, PRP stimulates cell proliferation and synthesis of extracellular matrix<sup>(4, 20)</sup>. All of these benefits are added to the fact that no reported negative outcomes from PRP use has been published till now<sup>(21, 22)</sup>, so it could consider as a good option in patient for whom steroid use is a concern. In contrast to our study, Rha and his colleague in 2013 reported no clear benefit of PRP therapy over other conservative measures<sup>(23)</sup>. In their study, they reported that the disease-specific scores for the PRP group improved at 1-year follow-up but this improvement did not differ from the placebo group<sup>(23)</sup>. This can be explained as the patients received single injection of PRP therapy, improvement in placebo group can be explained by the needling effect. The needling causes focal bleeding, so it can

stimulate an inflammatory response and promote healing in tendon tissue<sup>(24)</sup>.

Despite the clinical improvement after 4 weeks, yet there was a continued clinical improvement with a high statistically significance after second injection. Serial injection is one of the controversial topics in PRP application. In our study we use second injection 4 weeks after the first one to maximize the healing effect of PRP therapy especially that the healing rate of rotator cuff tendons are slow. In accordance, some researchers suggested that there was an improved result obtained during the 3-month follow-up and it could be related to a delayed effect of the first PRP injection or to a boosting effect of the second injection<sup>(17)</sup>. Furthermore, objective sonographic tendon improvements cannot be visualized at the time of initial follow-up and are generally not used to determine the need for additional injections. Complete tendon remodeling take up to 2 years to occur, leaving the clinician with few objective data to guide the decision to repeat.

One purpose of this study was to evaluate the role of pro-inflammatory cytokines (as IL-1β) and vascular angiogenesis-related cytokines (as VEGF) as a pathogenic factor in RCD. In normal individual, IL-1β is under the lower limit of detection (LLOD), either because levels were very low or because these molecules are not produced by healthy subjects<sup>(25)</sup>. On

other hand, the normal value of VEGF is expected to be less than 500ng/L<sup>(26)</sup>. Regarding our study, the baseline concentration of serum levels of IL-1 $\beta$  and VEGF were high. The pathogenesis of RCD is associated with an imbalance between different cytokines. The changes of these cytokines create an imbalance between degeneration and repair. In addition, degenerative changes that occur in RCD enhance production of pro-inflammatory cytokines and the vascular angiogenesis-related cytokines as they play a pivotal role in the pathogenesis of RCD and to improve the healing process<sup>(6)</sup>. In accordance, increased serum levels of IL-1 $\beta$  in RCD patients are a diagnostic indicator of the inflammatory phase.

Four weeks following injection, there was high statistically significant decrease of cytokines levels. This decrease went hand by hand with the clinical improvement. The initial high levels of these cytokines and the subsequent significant decrease with clinical improvement suggest the role of these cytokines in the pathogenesis of RCD as well as the effectiveness of our protocol in management of RCD. Three months following the first injection showed radiological improvement although it did not show statistically significant changes. This reflects on going healing of the injured ligaments as well as the effectiveness of injection and rehabilitation program. Despite the clinical improvement after 4 weeks, yet there was no improvement in radiological grading of rotator cuff lesion 4 weeks after first injection. This was similar to the finding of many other studies who reported that MRI and ultrasound findings after treatment hardly changed although clinical improvement<sup>(17)</sup>. This highlights that functional recovery precedes the anatomical recovery which is assessed using ultrasound<sup>(27)</sup>. Among our study, there was a high statistically significant positive correlation between the baseline serum levels of cytokines and VAS score before

injection and a statistically significant negative correlation between the serum levels of these cytokines and Los Anglos score before injection. These correlations prove the role of these cytokine in pathogenesis of RCD; as with their higher levels, there was worse clinical state.

### **Conclusion**

PRP therapy is an effective treatment for RCT and lead to functional improvement. There is a strong correlation between levels of cytokines (IL-1 $\beta$  and VEGF) and clinical scores before and after injection. There is strong correlation between level of VEGF and ultrasound grading of rotator cuff lesion.

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## دور العلاج بالبلازما الغنية بالصفائح الدموية في متلازمة الكم الدوار

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**المقدمة:** يشكل المرضى الذين يعانون من اعتلال اوتار عضلات الكتف (متلازمة الكم الدوار) جزء كبير من المرضى، يزداد شيوع المرض مع تقدم العمر و مع الاعمال التي تتطلب رفع الايدي فوق الرأس بشكل متكرر كالرياضيين والعمال. بالإضافة لما تسببه متلازمة الكم الدوار من زيارات متكررة لمرافق الرعاية الطبية مع ضياع كبير للوقت، لكنها ايضا قد تسبب العجز على المدى الطويل.

معظم درجات مرض متلازمة الكم الدوار يمكن علاجها بالعلاج التحفظي. عدم قدرة اوتار عضلات حزام الكتف على الالتئام الذاتي واعادة تكوين الانسجة التالفه يعتبر السبب الاساسي في فشل العلاج التحفظي، لذلك اقترح العلماء استخدام عوامل النمو او البلازما الغنية بالصفائح الدموية لتحفيز الالتئام وسرعة اعادة تكوين الانسجة التالفه.

**الهدف من البحث:** تهدف هذه الدراسة إلى تقييم دور حقن البلازما الغنية بالصفائح الدموية في التحسن الوظيفي على مرضى متلازمة الكم الدوار بالإضافة الى تقييم دور السيوتوكينات المحفزة للالتهابات والسيوتوكينات المرتبطة بتولد أوعية جديدة كعامل ممرض في مرضى متلازمة الكم الدوار.

**المرضى وطرق البحث:** تضمنت هذه الدراسة 40 مريض تم تشخيصهم بمرض متلازمة الكم الدوار. جميع المرضى خضعوا لفحص اكلينيكي وعضلي شامل واخذ إقرار من المرضى بالموافقة على إجراء البحث. تلقى جميع المرضى جرعتين من حقن البلازما الغنية بالصفائح الدموية مع الاخذ في الاعتبار ان يكون هناك فاصل 4 أسابيع بين الحقنة الأولى والثانية. تم إجراء التقييمات السريرية والمخبرية والإشعاعية قبل وبعد 4 أسابيع من الحقن الأول وبعد 3 أشهر من الحقن الأول. شمل التقييم نظام التهديد السريري ، فحص السيوتوكين والموجات فوق الصوتية التشخيصية لاوتار الكتف.

**النتائج:** بحلول نهاية بروتوكول الحقن كان هناك تحسن سريري وظيفي ملحوظ احصائيا في جميع المرضى المشمولين في الدراسة؛ تم تقييم هذا التحسن من خلال انخفاض درجات ونسبة الألم وتحسن المجال الحركي بالإضافة الى تحسن القوة العضلية والاداء الوظيفي. بالإضافة إلى ذلك ، كان هناك انخفاض كبير ملحوظ احصائيا في مستويات المصل السيوتوكينات. علاوة على ذلك، كانت هناك زيادة إحصائية كبيرة في عدد المرضى الذين تحسنا فيما يتعلق بدرجة التقييم باستخدام الموجات فوق الصوتية لاوتار الكتف بعد 3 أشهر. أظهرت النتائج التي توصلنا إليها أن هناك علاقة ايجابية ذات دلالة إحصائية بين مستويات المصل من السيوتوكينات و نظام التهديد السريري للالم قبل الحقن. بالإضافة إلى ذلك، كان هناك علاقة سلبية ذات دلالة إحصائية بين مستويات مصل السيوتوكينات وبقية النظم السريرية الأخرى قبل الحقن. هذه الارتباطات تثبت دور السيوتوكين في التسبب في مرض متلازمة الكم الدوار.